

Herefordshire Public Services Assurance Framework - April 2008

		Principal Risk	Classification	Principal Risk			Key Controls	Assurances on Controls	Positive Assurances	Gaps in Control	Gaps in Assurance	Residual Risk			Change	Financial Impact	Responsibility	Committee	Organisation
Directorate	Principle Objective	What could prevent this objective being achieved	Which area this risk primarily relates to	L	C	R	What controls/systems are in place to ensure delivery of objective	Where we can gain evidence that our controls are effective	Evidence that we are reasonably managing our risks & objectives are being delivered	Where are we failing to put controls in place or make them effective	Where are we failing to gain evidence that our controls are effective	L	C	R					
3	Public Health	Improve health	Pandemic Influenza	Patient	4	5	20	DH UK contingency plan. Regional flu plan. Herefordshire Influenza Pandemic Planning Committee LRF Sub Group on Influenza Planning DH stockpiling anti-viral drugs & operational guidance. Existing major incident plans and emergency planning command & control structures. Mass prophylaxis plan. Immunisation programme. Updated HPCT Flu Plan PCT Business Continuity Plan Local anti-viral strategy	DH/SHA Audit Monitoring of immunisation programme.	Director of Public Health Annual Report - November 2007	Vaccine unavailability and efficacy of anti-viral treatments Lack of Business Continuity Plans from Independent Contractors. Emergency Planning Lead post vacant from Sep 07.		4	5	20	5	DPH	IGC	PCT
4	Resources	Reduction in the Use of Resources overall assessment			3	5	15		Audit Commission		Adverse opinion on Value for Money in Annual Governance letter, due to the financial governance issues in ICT & Customer Services highlighted in the Section 151 Officer report dated 20.09.07 and the Crookall report, will impact on the 2007 Use of Resources score for Internal Control and Value for Money.		5	4	20	4	DF		HC
6	Childrens	The paediatric audiology department is under resourced resulting in long waiting lists	Patient	4	4	16		Newborn Hearing Screening Initiative		National shortage of paediatric audiologists. NHSI external assessment indicates a review of processes required.	CSIP to do a review	4	4	16	Ne w	DO	IGC	PCT	